## STATISTICAL METHODS OF PHASE RECONSTRUCTION IN SPECKLE INTERFEROMETRY

A Thesis Submitted for the Degree of **Portor** Of **Philozophy** in the Faculty of Science

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### DECLARATION

I hereby declare that the work presented in this thesis is entirely original, and has been carried out by me at the Raman Research Institute under the auspices of the Department of Physics, Indian Institute of Science. I further declare that this has not formed the basis for the award of any degree, diploma, membership, associateship or similar title of any University or Institution.

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# Statistical Methods of Phase Reconstruction in Speckle Interferometry (the book of speckulations)

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## DRAMATIS PERSONAE

Ns	Average number of speckles in a short exposure image of a point source
Ν	Average total number of photons in an exposure for a point source
K	Average photon count per speckle in an exposure for a point source $\mathcal{N}_{o}$ : for a zeroth magnitude star
γ	number of photons in a pixel
λ	wavelength (optical region) $k = 2\pi/\lambda$
ro	Fried's parameter characterising atmospheric seeing
l	Parameter analogous to the Frieds in the single scale model used in chapters4 and 5
X,Y,Z	Focal plane coordiantes, Two dimensional vector notation suppressed unless required otherwise
i	main line 🖌 -1
L,m,ŋ	Subscripts or dummy indices; discrete analogues of focal plane coordinates X, Y, Z etc in units of speckle size; two dimensional, whenever necessary explicit components $\dot{c}_{1}, \dot{c}_{2}$ cic used
D	Telescope diameter
ĸ	Telescope radius
SNR	Signal-to-noise ratio
I(x)	Focal plane intensity
<i>u,</i> v	Spatial frequencies
b	Binary separation, two <b>dimensional</b> but the focal plane axes aligned so that the binary is along the first axis
${}^{\mathbb{B}}$	the same in units of speckle size
μ.	Classical intensity in a realization at i'th pixel due to star 1
Jb	the same due to star 2
UE	Unbiased estimator
PSF	Point spread function, the focal plane intensity due to a point source, the system transfer function

R(x) 9	the same as PSF used in the text Detector quantum efficiency: optics+quantum
M	Number of frames of data used
S(X)	Source structure
$I_u, R_u, S_u$	Fourier representations of $I(x), R(X), S(X)$
KT	The Knox-Thompson method
тс	The triple correlation method
6	Focal plane size of the seeing disk
P	Focal plane size of a speckle
No	normalization: $\pi R^{\prime} N_0$ is the total photon count by a zeroth magnitude star through the entire aperture
	Poisson average
< >	Average over atmospheric fluctuations
$\delta_{ij}$	Kroneckerdelta: $\delta_{ij} = 1$ if $i = 0$ and $\delta_{ij} = 0$ otherwise
Sijk of	c Sijk = Sij Sik; Sijke = Sij Sike and so on.
$\alpha_1, \alpha_2$	Relative strengths of the binary componets

## INTRODUCTION

0.1 Ground based high resolution optical astronomy

The nature of experiments in astronomy is passive owing to proportions of astronomical objects. the superhuman The universe conducts its experiments and the astronomer observe astronomical objects when and where they pose for him. To have a more complete record of an astronomical phenomenon it is necessary to be able to record as faint a signal as possible. In addition, it is important to be able to observe finer details in the structure of astronomical objects. But for the earths atmosphere both these requirements for stretching known universe would have been fulfilled by our large telescopes. Ideally, а large telescope has а large area and better ability to resolve angular features collecting However, the turbulent atmosphere in astronomical images. limits the resolution in long exposure images to about one second of arc at optical wavelengths which is the angular resolution of a 10 cm telescope. Temperature induced random density fluctuations convected by atmospheric turbulence offer random refractive-index inhomogeneities to incoming By the time starlight reaches the entrance light waves. of telescope it shows random variations of both pupil phase and amplitude (Fig 0.1). A zeroth order description of wave propagation in a random atmosphere is to project the column desity of air above the telescope onto the pupil. Although one had an optically polished mirror to begin with the result of such a projection is an equivalent aberrated mirror. Although this simple picture gives only phase modulation it is a

correct indicator of what the atmosphere does to focal plane images. The variations in amplitude are perceived by eye as scintillations: the so called twinkling the of stars. Typically, the field decorrelation length is about the in the optical region. By this we mean the random 10 cm difference of phase of the wavefront at two points separated by 10 cm is about  $\pi$  . An interesting naked-eye observation (Minnaert 1940) is to look at the twinkling of a star near the horizon with the eyes crossed so that one sees two images, one formed within each eye. They show independent scintillations of intensity. One can think of the corrugated pupil plane wavefront (like the one shown in Fig 0.1) as made up of a large number of plane waves with different angles of arrival. Each of these will produce a bright spot with nearly diffraction limited size. To get a decorrelation length of the order of 10 cm the RMS angle of arrival of different waves forming the pupil plane field should be about 1". As а instantaneous image of a point source has a consequence the spread of about 1" (corresponding to the 10 cm decorrelation length) and contains many bright spots, the so called speckles, with nearly diffraction limited size (Fig 0.2b). These atmospheric corrugations change with time on a scale of typically 10 ms, resulting in a time dependent speckle pattern. Though at instant the finest features (individual speckles) any in have (nearly) diffraction limited size, on long the image exposure one records only the "envelope" seeing disk which is typically 1" wide (Fig 0.2c).

Nevertheless, ground-based high resolution astronomy is possible with various novel techniques (see references to reviews at the end). Techniques like lunar occultation and intensity interferometry are not affected by the turbulent atmosphere. The Michelson stellar interferometer combines light from two (or more) mirrors smaller than the field decorrelation length. Though this does not avoid the problems offered by the atmosphere entirely, the effect of the atmosphere takes a simpler form and can be compensated for. Speckle interferometry uses statistical methods of image reconstruction from a large number of short exposure images formed by large telescopes. Though speckle interferometry is affected most by the atmosphere, its large light gathering capacity makes it a powerful high resolution technique for faint objects. The present deals with thesis some aspects of speckle interferometry, but we briefly first describe the salient features of the other methods.

Since the angular size of the moon Lunar occultations: is much larger than the angular resolution obtainable by this technique, the occulting edge of the moon can be considered essentially straight. A strictly circular moon would give a 10 mas Poisson spot but deviations of more than 10 m from circularity would eliminate that. The formation of the intensity pattern on the ground can be understood in two steps. In the first step the diffraction due to the lunar edge results in intensity variations just outside the earths atmosphere. The scale of intensity variation of the knife-edge pattern at this plane is about 10 m which is the Fresnel zone size corresponding

the earth-moon distance of about 400,000 Km and  $\lambda = 5000 \text{ A}$ . The to further propagation encounters nearly 10 Km of earths turbulent atmosphere. The corresponding Fresnel length is about 5 cm. In addition refractive effects also result in intensity variations. Taking 1" as a typical angular deviation in the angle of arrival of rays the scale on which refractive effects can affect the fields is readily estimated to be about 1"X10 Km=5 cm. Both these length scales are smaller than the scale of variation of the intensity atop the atmosphere. The atmosphere can thus introduce scintillations on a 10 cm lengthscale but does not significantly scramble the knife-edge pattern produced by the moon. By using telescopes of size larger than 10 cm (yet less than the 10 m Fresnel length) the effect of scintillation can be averaged to yield the true intensity distribution at the top of the atmosphere. Inspite of the limited coverage in the sky the relative simplicity of the instrumentation is а major advantage of this technique.

Intensity interferometry: Pioneered by Hanbury-Brown and Twiss, intensity interferometry is based on the following fundamental principle. If the bandwidth of the incoming light is restricted to a frequency range  $\Delta \nu$  then for timescales shorter than  $\tau = 1/\Delta v$  the source can be treated as a coherent one with a stable amplitude and phase. The structure of fields at the surface of the source, though very complicated (thermal), can be regarded as frozen in. The intensity distribution due to so complex a source shows variations both temporally (timescale  $\, arpi \,$  ) and spatially. The order of

magnitude of the spatial scales can be estimated as follows. At the location  $\Xi$  of the observer the cone of radiation from source  $\mathcal{D}$  subtains an angle  $\theta \sim \mathcal{D}/\mathcal{Z}$  . the For nearly monochromatic light this gives rise to the transverse coherence length  $\ell_1 \sim \lambda/\theta$  on which the intensity changes normal to the rays. The longitudinal coherence length  $\ell_{\mu}$  comes about because different waves in the bandwidth beat with each other resulting in intensity variations over the longitudinal length scale  $\&, = \frac{A_2}{A_{\lambda}}$ . Thus measurement of  $l_1$  gives the angular size of the source. Is this description of intensity correlations valid in the optical region? The answer to this general question was given by **Dirac** a long time before the conception of the intensity interferometry. While dealing with interference of light from quantum standpoint, he states that the same photon interferes only with itself. One can summarize the relevant results from quantum-electrodynamics as the following rules. First of all, we agree to deal with quasimonochromatic light and do not probe length-scales smaller than the wavelength of light. Next, calculate the intensity distribution classically. This calculation involves diffraction and interference. If a detector, during its response time, is to certain amount of energy  $\varXi$  on the basis of the receive а classical theory then the number N of photons it will receive is Poisson distribution with mean number of а photons corresponding to the classical energy  $(\bar{n} = E/hv)$ , It should be emphasized that the distribution of photons detected by two detectors is independent Poisson with the instantaneous (classical) fluxes as the means. The classical intensities are not constant in general and vary both spatially and temporally. The correlations in the number of detected photons in two detectors appear only because of correlations in the classical intensities at the two detectors.

The Narrabri intensity interferometer has two telescopes of diameter 6.7 m with baselines upto 60 m. Its detector response bandwidth 100MHz allows it to probe the intensity variations on lengthscales larger than 300 cm. Allowing for 10% tolerance the intensity interferomater can tolerate path differences smaller than 30 cm! The path differences introduced by the turbulent atmosphere are of the order of 10 cm. For the same reason the mirrors need not be very accurately designed (compared to the traditional requirement of a fraction of a wavelength) thus greatly reducing the cost of the 6 m telescopes. The telescopes being very large the effect of atmospheric scintillations is negligible. The diameters of the 32 stars by the Narrrabri measurement of group stands as a "skymark" in optical high resolution astronomy. Incidently, The duration of exposures in speckle interferometry about 10 ms some  $0^{6}$  times the intensity coherence is time  $10^{-3}$ ,  $\Delta\lambda = 100$  A, Thus as far as optical speckle interferometry is concerned one can safely neglect the Brown-Twiss intensity use Poisson statistics governed correlation and by the instantaneous intensity.

0.2 Michelson approach to high resolution astronomy The credit of first measuring angular size of distant stars, thus opening the new horizon of high resolution astronomy, goes to

[12] [13] Michelson and Pease who succeeded in overcoming the limitations by the seeing. Extending Fizeau's idea of putting a imposed mask with two small holes on the pupil of a telescope they attached a 20 ft beam with small mirrors mounted on it on the The beam with mirrors increased the Mt. Wilson telescope. with the 8-ft diameter Mt. effective baseline avaialable Wilson telescope thus gaining resolution higher than the Rayleigh limit of the telescope; the latter alone wouldn't have been able to resolve some of the stars even in the absence of atmospheric degradation. More importantly, the use of mirrors of size smaller than the field decorrelation length simplified the nature of atmospheric noise and it was possible for them to measure the diameters of 8 stars. First, interferometer consider such an in the absence of atmospheric degradation. The image of a point source, for a telescope with a masked pupil with two holes, consists of the well-known Young's two hole fringes. The fringes have width corresponding to the separation between the two holes and the overall fringe pattern has an extension that corresponds to the size of the individual small mirrors. For example, if the size of the small holes is 10 cm and the separation between them is 5 m then the focal plane image of a point source will be a spot size 1" with fringes of size 20 mas in it. A second nearby of point source will give a fringe which will superpose onto the first fringe as the two sources are incoherent. The result of such addition of fringe intensities is a fringe with the same periodicity (this is property of the hole separation and a result that addition of any number of sinusoidals with

same periodicity is a sinusoid with the same period) the with shifted location of the maxima when compared to the one star fringe. The location of the fringe maxima is crucial in knowing the parity of the binary source. Parity of a binary is defined as the side of the brighter component of the binary and is the subject of the major part of this thesis. The location of the fringe due to the binary is intermediate to the locations of hypothetical fringes due to the component point sources. If one knows the locations of the two stars accurately then from the location of the binary fringe relative to the two stars it is possible to know the side of the brighter component. The minima of the two source fringe system donot have zero intensity in general as contrasted to the single point-source fringe. This leads to loss in the fringe visibility. For sources whose angular diameter is the fringe width the loss of fringe visibility equal to will be near total.

Atmospheric degradation takes a simple form for Michelson interferometry. As the mirrors are smaller than the decorrelation length one can approximate the corrugations in the wavefront due to the atmosphere as random phase shifts and random tilts in the wavefront. These random shifts and tilts are different at the two mirrors forming phase the baseline. The difference in the phase shifts at the two mirrors has the effect of changing the overall direction of the source: this reflects in the drift in the fringes. This random drift in the fringes is of the order of 1". Difference in the

tilts on the two mirrors reduces the overlap of the 1" spots produced by the two mirrors. The fringes arise only in the overlapping region. Since the fringes drift by more (in fact a lot more) than their width the location of the fringe contains no information about the parity of the binary. However, the in the fringe visibility can be seen ( atleast Michelson loss and Pease were able to meet the requirement by detectors inherited from their PAPA and MAMA) as a function of the the baseline more than 20 ft baseline. Extending posed serious stability problems to the then available technology. The system must be mechanically stable to optical accuracies. Even if this were so the random phase difference introduced by the atmosphere at the extremeties of the baseline make it very difficult for the bare human eye to observe a rapidly changing fringe pattern. Efforts to extend the baseline to 50 ft this way did not meet with success and the beamy version of the Michelson interferometry was abandoned. Modern technology has made it possible to overcome these problems. The modern versions have independently mounted small mirrors from which light is combined at a central optical Different table. parts of the interferometer are controlled to optical accuracy by laser interferometers. In addition, the introduced by the atmosphere, in the form of randomness relative path differences and wavefront tilts, is actively (technical adoptively) compensated for. term The interferometer includes sensors which detect the changes due to the atmosphere and correct for them.

## 0.3 Speckle interferometry

As mentioned before, an instantaneous focal plane image of a point source shows a random speckle pattern. A sufficiently nearby source will produce an identical image. If two sources within the so called isoplanatic patch then their light are through almost identical turbulence in passes the isoplanatic patch is very roughly 10" atmosphere. This at optical frequencies. Though the point source response is quite random the instantaneous image of a binary, for example, will have identical (at least for bright sources when photon noise is unimportant) speckle patterns corresponding to the two stars.

That the speckles do contain information about the nature of the source was demonstrated in 1943 in a classic experiment by N Ramchandran (1943). The speckles were due G to scattering from lycopodium powder. Fig **0.3** clearly shows of the source which was imaged by a lens covered the nature with a glass plate with lycopodium powder on it. In the astronomical Labevrie (1970) context proposed and demonstrated, with Gezari and Stachnik (1972), the use of what is now known as speckle interferometry. The idea is to obtain (perhaps) a large number of short exposure images and obtain the power spectrum from each one of these. The power spectrum . due to one frame has noise which can be reduced by averaging many short exposure films. In particular if the source lies in a single isoplanatic patch and R(X) denotes the instantaneous focal plane point spread function (PSF) (intensity pattern due



(Ramachandran G.N., Proc. Indian. Acad. Sc., A18, 1943)

to a point source located at the origin) and S(X) is the source structure then' the instantaneous image is given by the convolution of R and S: which can be written in Fourier domain as

- iux

$$I_u = R_u S_u \qquad I_u = \int dx \ e \qquad I(x)$$
  
Speckle interferometry measures the power spectrum of the image  
and reconstructs the source power spectrum from this after  
correcting for the system response power spectrum which is  
measured for a nearby point source. As is obvious the power  
spectrum does not contain any information about the phases of  
the object distribution  $S_u$ . These phases are essential in  
unambiguous reconstruction of the object. The general second  
order correlation contains information about the object phases.  
However, because of properties of the system response there is  
a restriction on the Fourier components entering the general  
second order correlation:

## $\langle I_{u} I_{-u+\Delta u} \rangle$ nonzero if $|\Delta u| < \Delta u_{max} = \gamma_0 / \lambda f$

This restriction comes because two widely separated Fourier components tend to be uncorrelated. Consider two nearby Fourier components. Each of these is a weighted sum of the focal plane intensity. I(X), If  $\Delta U$  is smaller than the reciprocal of the seeing disk then the two weight functions will give almost the same integral. The existence of a second order statistic other than the power spectrum is an indicator that the focal plane image is not a stationary random process. If the seeing disk were of infinite extension when compared to the speckle size then power spectrum is the only second order statistics. The use of this general second order correlation was suggested by Knox and Thompson (1974). The KnoxThompson algorithum gives us the gradient (strictly the difference) of the source structure in the Fourier domain. The actual object phases are constructed recurssively from the gradients. An alternative solution to the phase problem was proposed by Weigelt (1977) who proposed the use of the third order statistics, the so called bispectrum

 $\langle I_{u} I_{v} I_{-u-v-} \rangle = \langle R_{u} R_{-u} R_{-u-v} \rangle S_{u} S_{v-} S_{-u-v-}$ which contains the object closure phase from which object phases recursively solved for. The only ambiguity are in the restoration involves an overall shift in the object. Besides these techniques dealing with conventional (though short images from traditional telescopes there are other exposure) innovative schemes which combine the pupil plane fields in a different ways, for example the rotation shearing interferometer (988). These are not discussed in this thesis. (Roddier

0.4 The scope of the thesis

This thesis deals with the Knox-Thompson and the triple correlation techniques of phase reconstruction. Particular emphasis is on the estimation of the signal to noise ratios of the techniques at both high and low light levels. The noise in measurements arises because of two main reasons. First of all atmospheric noise i.e. the fluctuations in the point spread function due to changing atmospheric conditions, needs to be considered. This is relevant for both the high and the low flux limits. The second source of noise is that due to the finite number of detected photons in a pixel. This is a quantum effect. Associated with these two sources of noise are the

parameters  $\textit{N}_{\textit{S}}$  and M .  $\textit{N}_{\textit{S}}$  is the average number of speckles in a realization. For a telescope of a given diameter  $N_{S}$  quantifies the atmospheric noise. If  $\boldsymbol{\ell}$  is the field decorrelation length then there are roughly  $\frac{n^2}{p_z}$  statistically independent areas in the pupil plane within which the field nearly uniform. The phases on two neighbouring coherence patches are statistically independent and have RMS phase difference about  $\pi$  i.e. path difference of  $\lambda/_2$  . The wavefront tilt  $\lambda/(rac{l}{2})$  is about 1". To represent these  $N_{\rm S}$  phase values one would need  $N_{\rm S}$  plane waves with appropriately chosen amplitudes and angles of arrival. This argument based on a single scale model for the pupil plane fields gives us the average number of speckles in a frame to be  $N_{\varsigma} \sim D^3 / \ell^2$  . In reality, the multiscale turbulence gives a slightly different scaling. Refractive index inhomogineities comparable to the telescope size or larger than it can steer the overall speckle pattern as their effect is well approximated by an overall tilt in the wavefront. In this thesis, for analytic simplicity, only single scale model for the pupil plane fields is considered. The new results reported here are argued to be generic and should be applicable to a multiscale model as well. Usually speckle is taken to mean a bright spot. However, because lense filters out spatial frequencies higher than the receprocal of the speckle size it is reasonable to imagine the focal plane made up of  $N_s$  independent speckle size areas. The speckle size is the correlation lengthscale for focal plane intensity. In chapter 2 we assume the statistics of the intensities on such independent areas to be а Rayleigh statistics. So in this technical sense a **specle** need not be abright spot (The Rayleigh statistics peaks at zero intensity). The intensities of the individual speckles can be considered as statistically independent as they correspond to the strengths of  $N_{\rm S}$  independent plane waves forming the pupil plane field.

The total photon count  ${\sf N}$  in an exposure comes from an area  $\mathcal{D}^2$  so the area per speckle is  $\boldsymbol{\ell}^4$  . Now if the average number of photons in a speckle is  $\mathcal{N}$  then the fluctuation in this is  $\mathcal{H}'^{\prime 2}$  as the number of photons obeys the Poisson distribution for a fixed intensity. The noise is unimportant if  $\mathcal{X}$  is much larger than unity. Astronomicaly, for a 10 ms exposure, 1" seeing 100 A bandwidth  $\mathcal{X}$  =1 means 13. For brighter objects the image is nearly classical and the only noise is due to the atmospheric degradation. For this reason in the SNR expressions for brighter sources only  $N_s$  appears. We also note here that what is relevant is the detected number of photons so in all expressions the product  $q\mathcal{N}$  should appear and not the detector efficiency q or  $\mathcal{N}$ individually. So although we derive the formulae for q=l first in the final expressions appropriate powers of q are introduced. Since different frames of data are statistically independent the SNR for M frames of data is M' times the SNR for one frame.

Throughout this thesis we talk of various orders of correlations. In the context of intensity correlations by order of a term we mean the power of  $\mathcal{N}$ . The physical motivation for this nomenclature is that for genuine high resolution techniques individual speckles are the basic statistical elements. One aim of the study reported here is to derive the scaling dependence of SNRs on these two parameters. These scalings should hold (atleast

approximately) in the more general and realistic multiscale case.

0.5 Summary of the thesis

In chapter 1 we present SNR estimates for the bispectrum and the phase reconstruction based on it. The SNR estimates are valid for both high and low flux levels. qiven In this chapter we show that the power spectrum analysis has а better SNR than the SNR for the bispectrum analysis. This result was contrary to the then existing SNR calculations for the bispectrum. The same conclusion was also reached, independently by other groups.

The SNR for the focal plane triple correlation presented in chapter 2 are complementary to the frequency domain calculations reported in chapter 1. In chapter 2 we are interested in the SNR the detection of the parity of a binary star. Parity is for defined as the side of the brighter component and is discussed in detail in this thesis. Binary stars are perhaps the simplest sources for which some ambiguity (180<sup>°</sup> in position angle) remains after measuring the power spectrum. Parity is also known to the binary star observers as quadrant ambiguity. Parity is important in some astronomical applications, examples being the recently observed 'companion' to SN 1987A and closely separated gravitational lens images. Quadrant ambiguity may play crucial role in determining the orbital parameters for a binary as in the [10] case of 70 Tauri (McAlister 1988). Since one is dealing with a property of the source structure, rather than intermediate quantities like phase gradient or the bispectrum, one can meaningfully compare how different phase reconstruction methods fare in determining parity. In addition the simple nature of the

source facilitates explicit analytic calculations in the focal plane domain rather than the Fourier domain usually discussed. Parity is defined in this chapter as a special case of the focal plane triple correlation. The calculations are based on certain approximations of the point spread function. In chapter 5 these calculations are extended to take into account effects neglected in this chapter 2.

The Poisson fluctuations obeyed by the photons introduces bias terms dominent at low flux levels. It is necessary to obtain unbiased estimators for quantities of interest. In the third chapter we present results on the general (frequency or focal plane) Poisson results on the N'th order statistics. The diagramatic technique for keeping track various terms and the generality of the results is believed to be new. Results of this chapter allow us to discuss issues related to the choice of weight function to determine the parity of the binary. Our main interest being the parity of a binary we have considered in chapters 4 and 5 the question of the optimum weight function for parity detection. Results derived in the third chapter have been used there.

In chapter 4 we consider second order methods like the Knox Thompson. The Knox-Thompson has traditionally been analysed in the Fourier transform domain. A given Fourier phase is build up by fitting a large number of phase difference measurements, a process which is difficult to analyse. A differnt approach, based on focal plane correlations is proposed. Our results show that the second order method of parity determination has a SNR that

depends linearly on the binary binary separation. This effect was entirely missed by previous calculations dealing with the SNR for the PSF rather than for the actual source. This dependence on the separation make the second order methods less reliable than the triple correlation methods when the binary separation is close to the resolution limit (except for unrealistically faint sources at greater than 18).

In chapter 5 we return to the SNR for parity detection using the triple correlation method. This calculation is the low flux extension of the results presented in the second chapter but takes into account various effects absent in the idealization of the PSF used in that chapter. Similar to the results of chapter 4 the SNR for the new "edge effects (due to the finite size of the seeing disk) considered in this chapter depends on the binary separation. However, for magnitudes relevant to the present day speckle interferometry and for binaries near the diffraction limit of the telescope we find that the SNR for parity detection is essentially that given by the simpler model of chapter 2.

Although this thesis deals with binaries, for which explicit calculations are possible, the conclusins arrived at are **belived** to be generic to complex sources with structure at the scale of the resolution limit of the telescope.